

Part 2B of Form ADV: *Brochure Supplement*

DBA:



Brent D. Griffin

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Spire Wealth Management

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This brochure supplement provides information about Brent D. Griffin that supplements the Spire Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Spire Compliance at 703-657-6060 if you did not receive Spire Wealth Management, LLC's brochure, or if you have any questions about the contents of this supplement.

Additional information about Brent D. Griffin is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Full Legal Name: Brent D. Griffin

Born: 1969

Education:

- Columbia College of Missouri, Financial Services.
- The American College of Financial Planning, Coursework towards Chartered Financial Consultant Designation.

Business Experience

- Spire Investment Partners; Investment Advisor Representative; from 03/2026 to Present
- Edward Jones; Financial Advisor; from 01/2022 – 12/2025
- Merrill Lynch; Financial Advisor; from 09/2018 – 12/2020

Item 3 Disciplinary Information

Brent D. Griffin has financial disclosures on his record. More information can be found at FINRA Brokercheck.

Item 4 Other Business Activities

A. Investment-Related Activities

Brent D. Griffin is also engaged in the following investment-related activities:

Registered representative of a broker-dealer

Advisor also carries the securities licenses required by FINRA (Financial Industry Regulatory Authority) to offer securities products and execute securities transactions separately from their registration as an Investment Advisor Representative providing investment advice. This additional licensing allows advisors a more robust suite of products to offer to their clients. Registration, supervision, and continuing education are all requirements for maintaining this type of registration.

Conflicts that may arise for holding this type of license would be in cross-selling. Such a conflict could be selling out of an advisory account and buying in a securities account and thereby generating a commission for the representative. Other conflicts that could occur would be moving monies from an advisory account into a commission account to affect a commissionable trade.

The types of commissions that may be earned on these types of accounts/products could be any one of the following:

- Mutual Fund 12b-1 commissions
- Mutual Funds Trail Commissions
- Direct Product Sponsor Commissions

Other investment-related business

Licensed as an insurance representative allows the advisor to offer various insurance products such as Variable Annuities, Life Insurance, and Long-Term Care insurance. Typically, these products generate commission payments to the representatives selling the products. The ability to offer these products to clients allows the advisor a much more robust suite of products and thereby providing the client with a much more comprehensive financial plan.

B. Non-Investment-Related Activities

Brent D. Griffin is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Item 5 Additional Compensation

Brent D. Griffin does not receive any economic benefit from a non-advisory client for the provision of advisory services.

Item 6 Supervision

Supervisor: Andrew Baron

Title: Designated Supervisor

Phone Number: 703-657-6072

In addition to an annual review of our Firm's policies and procedures, each advisor is supervised with the following ongoing review:

- a. Daily trade reviews
- b. Monthly review of personal securities accounts
- c. Monthly review of business bank statements of DBAs
- d. Monthly correspondence reviews - including ongoing capture and review of email
- e. Periodic reviews of client account activity.